

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

ALICE KRAMER, as Personal Representative of the  
Estate of Arthur Kramer,

Plaintiff,

-against-

LOCKWOOD PENSION SERVICES, INC., TALL  
TREE ADVISORS, INC., LIFE PRODUCTS  
CLEARING, LLC, TRANSAMERICA OCCIDENTAL  
LIFE INSURANCE CO., PHOENIX LIFE  
INSURANCE CO., LINCOLN LIFE & ANNUITY CO.  
OF NEW YORK and JONATHAN S. BERCK,

Defendants.

**ECF Case**

08 Civ. 2429 (DAB) (MHD)

**Rule 7.1 Statement**

PHOENIX LIFE INSURANCE CO.,

Third-Party Plaintiff,

-against-

STEVEN LOCKWOOD,

Third-Party Defendant.

LIFE PRODUCT CLEARING LLC, JOHNATHAN S.  
BERCK,

Third-Party Plaintiff,

-against-

LIZA KRAMER and ANDREW B. KRAMER,

Third-Party Defendants.

Pursuant to Federal Rule of Civil Procedure 7.1 and to enable District Judges and Magistrate Judges of the Court to evaluate possible disqualification or recusal, the undersigned counsel for DEFENDANT AND THIRD-PARTY PLAINTIFF JONATHAN S. BERCK (private non-governmental party) certify that the following are corporate parents, affiliates and/or subsidiaries of said party, which are publicly held.

NONE

**Date:** August 1, 2008

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/s/  
PETER JAKAB

**Attorney Bar Code: PJ-8553**